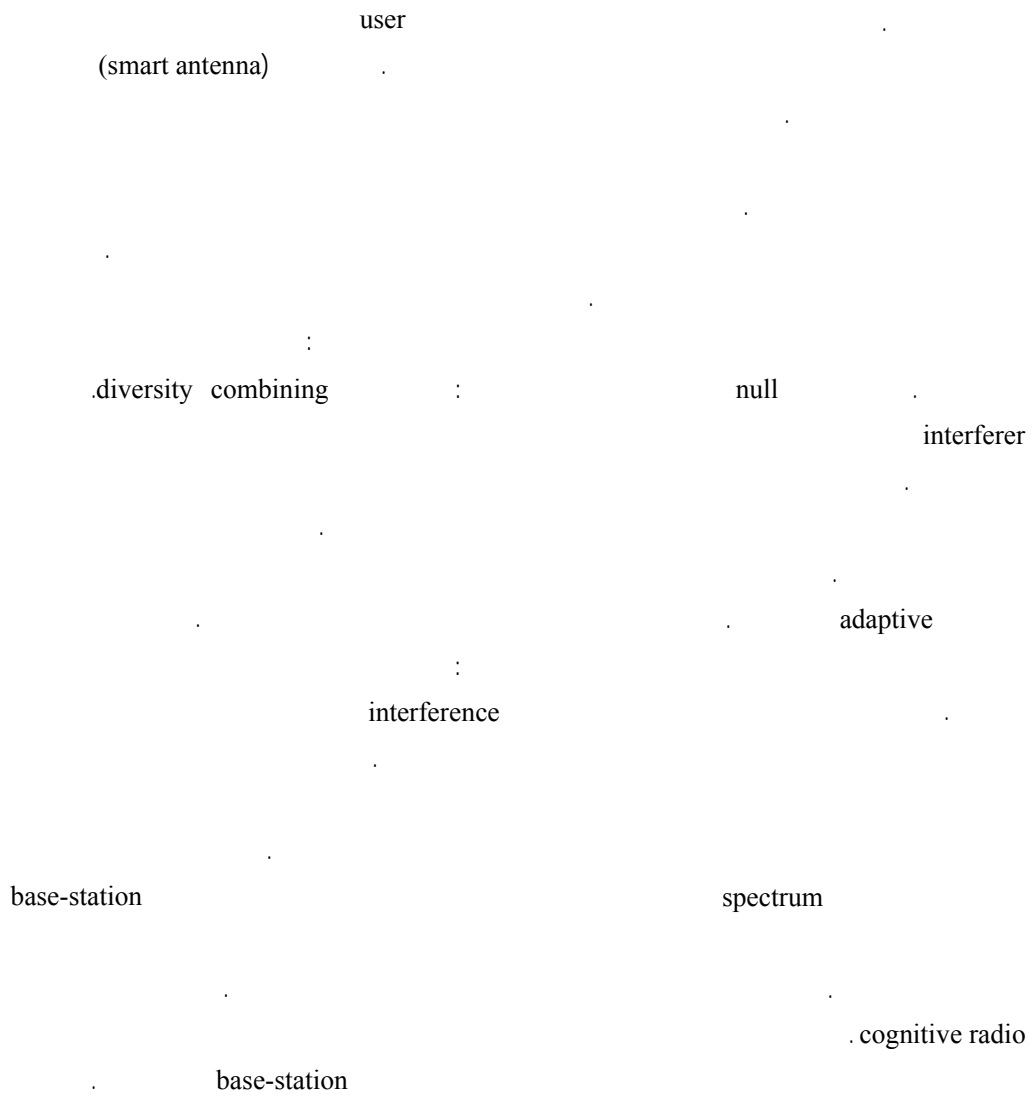


z.naghsh@ec.iut.ac.ir



$$m_i(t) e^{j2\pi f_0(t+\tau_i(\varphi_i, \theta_i))}$$

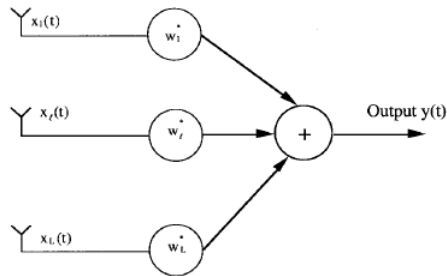
$$x_l = \sum_{i=1}^M m_i(t) e^{j\pi f_0(t+\tau_i(\varphi_i, \theta_i))} + n_l(t)$$

Cognitive radio spectrum (opportunistic)

σ_n^2
omnidirectional
 (φ_i, θ_i)
 (x_i)

(beam-forming)

BPF



adaptive

f_0
omnidirectional L
far field

$$\tau_l(\varphi_i, \theta_i)$$

$$\underline{w} = [w_1, w_2, \dots, w_L]^T, \quad \underline{x}(t) = [x_1(t) \dots x_L(t)]^T$$

$$y(t) = \underline{w}^H \underline{x}(t)$$

()

$m_i(t)$

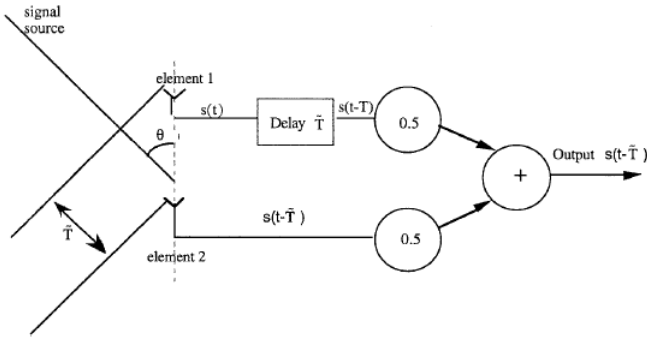
$$m_i(t) e^{j\pi f_0 t}$$

$m_i(t)$

$$p(w) = E[y(t)y^*(t)] = w^H R w$$

P_i

(i)



$$R = E[x(t) x^H(t)]$$

$$S_i = \dots$$

$$s_{-i} = [\exp(j2\pi f_0 \tau_1(\varphi_i, \theta_i)), \dots, \exp(j2\pi f_0 \tau_L(\varphi_i, \theta_i))]^T$$

$$\text{SNR} \quad \text{SNR} \quad :$$

: look

look s_0

delay-and-sum :

f_0 interferer

SNR

delay-and-sum 1.2

s_0

look

$$R_N = \sigma_n^2 I \quad P_N = w^H R_N w = \frac{\sigma_n^2}{L}$$

$$w = \frac{1}{L} s_{-0}$$

$$\frac{P_s L}{\sigma_n^2} \quad \text{SNR} \quad \frac{P_s}{\sigma_n^2} \quad \text{SNR}$$

look

look

look

null-steering

$$x_{-s}(t) = m_s(t) e^{j2\pi f_0 t} s_{-0}$$

cancel null-steering

$$y(t) = w^H x_{-s}(t) = m_s(t) e^{j\pi f_0 t}$$

$$p(w) = p_s$$

interferer delay-and-sum

look

cancel

interferer

interferer

$s(t)$

$$s(t - \tilde{T})$$

$$s_{-1}, \dots, s_{-k} \quad s_{-0}$$

interferer k

$$s(t - \tilde{T}) \quad \theta$$

$$w^H s_{-0} = 1$$

$$w^H s_{-i} = 0 \quad i = 1, \dots, k$$

$$\left. \begin{array}{l} \min_w w^H R w \\ \text{subject to } w^H s_{-0} = 1 \end{array} \right\} \Rightarrow \hat{w} = \frac{R^{-1} s_{-0}}{s_{-0}^H R^{-1} s_{-0}}$$

$$w^H A = c_1^T$$

$$A = [s_{-0}, s_{-1}, \dots, s_{-k}]^T, c_1 = [1, 0, \dots, 0]^T$$

$$w^H = c_1^T A^{-1}$$

look
look
interferer
distortion

$$A \quad C_1$$

SNR
 $R_N \quad R$

interferer

null
interferer

interferer

$$\hat{w} = \frac{s_{-0}}{L}$$

delay-and-sum

null-steering

interferer

delay-and-sum

SNR interferer

(\hat{G})

$(\hat{\alpha})$ SNR

$$\hat{\alpha} = \left(\frac{p_s L}{\sigma_n^2} \right) \times \frac{\rho + \frac{\sigma_n^2}{P_l L}}{1 + \frac{\sigma_n^2}{P_l L}}$$

w SNR

$$\hat{w} = \mu_0 R_N^{-1} s_{-0}$$

R_N

μ_0 interferer

look

SNR (φ_0, θ_0)

$$\hat{G} = \frac{P_l L}{\sigma_n^2} \times \frac{\left(1 + \frac{\sigma_n^2}{P_l}\right) \left(\rho + \frac{\sigma_n^2}{P_l L}\right)}{1 + P_l}$$

$$\rho = 1 - \frac{s_{-0}^H s_{-1} s_{-1}^H s_{-0}}{L^2}$$

$$\mu_0 = \frac{1}{s_{-0}^H R_N^{-1} s_{-0}} \Rightarrow \hat{w} = \frac{R_N^{-1} s_{-0}}{s_{-0}^H R_N^{-1} s_{-0}}$$

R_N

R

(interferer I)
source interferer ρ

look

SNR

$$MMSE = E[|r(t)|^2] - \underline{z}^H R^{-1} \underline{z}$$

AM

SNR

distortion

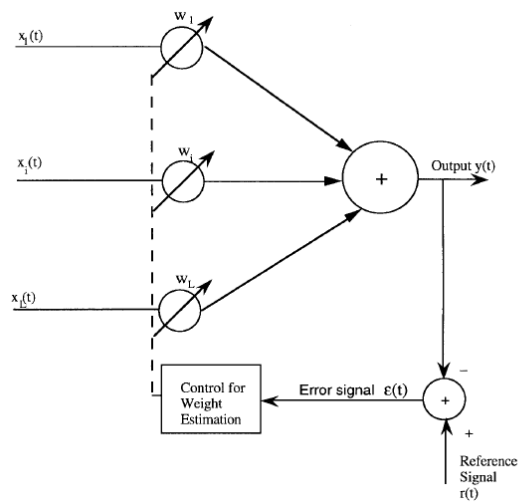
SNR

distortion

Beam-space processor

element-space processing

beam-space processing



()

L

r(t)

beam-space processor

(ε(t))

L-1

$$\varepsilon(t) = r(t) - \underline{w}^H \underline{x}(t)$$

$$MSE = E[|\varepsilon(t)|^2] = E[|r(t)|^2] + \underline{w}^H R \underline{w} - 2 \underline{w}^H \underline{z}$$

interference

$$\underline{z} = E(\underline{x}(t).r(t))$$

(Mean Square Error)MSE

cancel

interference

\underline{w}
 \underline{w}

look

cancel

$$\hat{\underline{w}}_{-MSE} = R^{-1} \underline{z}$$

(Minimum Mean Square Error)MMSE

beam-space
 element-space
 beam-space
 element-space
 look distortion
 look

$$\frac{\sin LX}{\sin x}$$

x(t)

s₀

look

$$(M \leq L) B_{L \times (M-1)}$$

look
 element-space
 look interferer

$$q \quad M-1 \quad q = x^H(t)B$$

cancel

$$\text{null look} \quad M-1$$

look beam-space

$$q = x^H(t)B \quad x(t)$$

look

$$(s_0^H \quad)$$

null look

B

) look

$$s_0^H B = 0$$

(

look

$$M \leq L$$

null

$$M=L$$

L element-space

fully adaptive

null

$$M < L$$

partially adaptive

$$M-1$$

adaptive

fully adaptive

beam-space processor

interferer

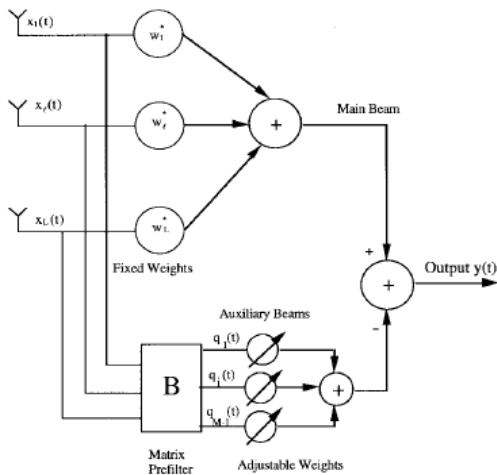
element-space

$$M-1$$

L

element-space

$$(M < L)$$



Beam-space processor :

$$: (\varphi_0, \theta_0)$$

$$x_l(t) = s(t - T_0) \quad l = 1, \dots, L$$

FIR

FIR

$$w = [w_{-1}, w_{-2}, \dots, w_{-J}]^T$$

LJ × 1

w_{-i} FIR L LJ

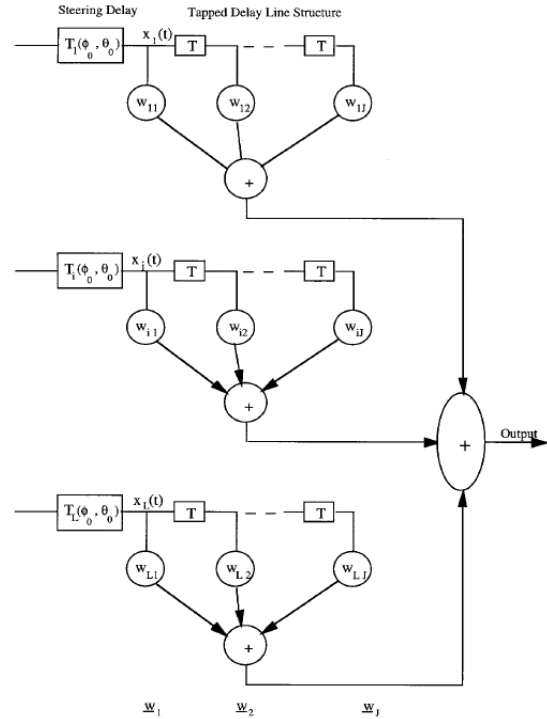
(tap (i-1) L

LJ

element-space

FFT

interferer



LMS adaptive

step size

$$(\varphi_0, \theta_0)$$

modular

$$T_l(\varphi_0, \theta_0)$$

$$T_l = (\varphi_0, \theta_0) = T_0 + \tau_l(\varphi_0, \theta_0) \quad l = 1, 2, \dots, L$$

$$T_l(\varphi_0, \theta_0) > 0, \forall l$$

$$s(t)$$

$$(\varphi_0, \theta_0)$$

$$x_l(t) = s(t + \tau_l(\varphi_0, \theta_0) - T_l(\varphi_0, \theta_0))$$

A

B

C

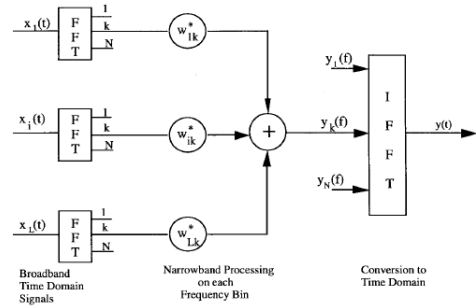
θ_1

θ_3

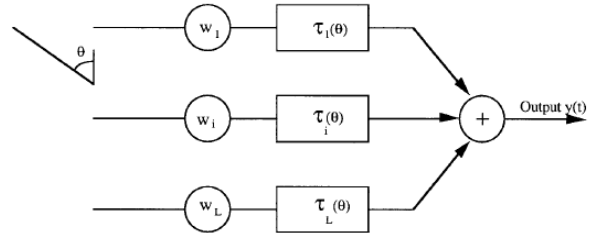
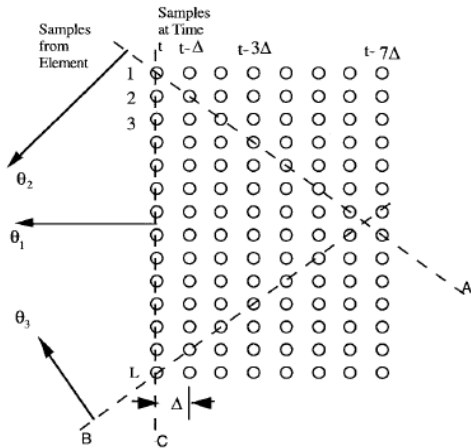
k_i

$$\tau_i(\theta) = k_i \Delta \quad i = 1, 2, \dots, L$$

Δ



$$y(t) = \sum_{i=1}^L w_i x_i(t - \tau_i(\theta))$$



(θ) look

delay-and-sum

θ

adaptive

R_N R

adaptive

(Δ)

$$\tau_i(\theta_2) = (i-1)\Delta$$

θ_2

z R

LMS

LMS

$$g(w(n)) = 2x(n+1)x^H(n+1)w(n) - 2x(n+1)r(n+1)$$

LMS

(: LMS

$$= 2x(n+1)\varepsilon^*(w(n))$$

$$\varepsilon(w(n)) = w^H(n)x(n+1) - r(n+1)$$

LMS

(

()

$$\mu < \frac{1}{\lambda_{\max}}$$

R

λ_{\max}

LMS

LMS

update

(μ)

step size μ

μ

μ

R

LMS

\hat{w}_{MSE}

(TDMA

slot

)

$$w(n+1) = w(n) - \mu g(w(n))$$

$w(n+1)$

(step size)

μ

(n + 1)

HZ

Hz

$g(w(n))$

: MSE

LMS

$$MSE(w(n)) = E[|r(n+1)|^2] + w^H(n)Rw(n) - 2w^H(n)z$$

$$\nabla_w MSE(w)|_{w=w(n)} = 2Rw(n) - 2z$$

$$y(n) = w^H(n)x(n+1)$$

$$K_{ww}(n) = E[(w(n) - \bar{w})(w(n) - \bar{w})^H]$$

() δ_0 n $\bar{w} = E[\underline{w}(n)]$

(forgetting factor)

update

MMSE MSE

MMSE

$$\frac{1}{1 - \delta_0}$$

LMS

$$\delta_0 = 0/96$$

misadjustment MMSE

(performance)

misadjustment

$R^{-1}(n)$

$$R^{-1}(n) = \frac{1}{\delta_0} \left[R^{-1}(n-1) - \frac{R^{-1}(n-1) \underline{x}(n) \underline{x}^H(n) R^{-1}(n-1)}{\delta_0 + \underline{x}^H(n) R^{-1}(n-1) \underline{x}(n)} \right]$$

misadjustment

μ

μ

μ

$$, R^{-1}(0) = \frac{1}{\varepsilon_0} I \quad \varepsilon_0 > 0$$

: trade off

ε_0

(
misadjustment

RLS

$$J(n) = \sum_{k=0}^n \delta_0^{n-k} |\varepsilon(k)|^2$$

μ

R

RLS

trade off

LMS RLS

μ

RLS

)

MSE

LMS

(

μ

MSE

flat-fading

RLS

LMS

RLS

LMS

R

R

adaptive

LMS

RLS

$R^{-1}(n)$ μ RLS

$$\underline{w}(n) = \underline{w}(n-1) - R^{-1}(n) \underline{x}(n) \varepsilon^*(\underline{w}(n-1))$$

$$R(n) = \delta_0 R(n-1) + \underline{x}(n) \underline{x}^H(n) = \sum_{k=0}^n \delta_0^{n-k} \underline{x}(k) \underline{x}^H(k)$$

(constrained)

s_{-0}

(robust)

look

)

look

(

look

look

interference

look

)

:

(

cancel

(

look

(

interference

) R

(

(

(broad)

s_{-i}

(

look

(

interference

(

direction)

(of arrival (DOA)

(

phase-shifter

element-space

beam-space

null

beam-space

interference-rejection

)

(

SNR

SNR

[1] L. C. Godara, "Application of antenna arrays to mobile communications, part II: beam-forming and direction of arrival considerations," *proc. IEEE*, vol. 85, pp. 1195-1245, 1997.

DOA
DOA

[2] B. D. Van Veen and K. M. Buckley, "Beamforming: a versatile approach to spatial filtering," *IEEE ASSP Mag.*, pp. 4-24, April 1988.

RMS
RMS

[3] M. Ghavami, "Wideband smart antenna theory using rectangular array structures," *IEEE Trans. Signal Processing*, vol. 50, pp. 2143-2151, 2002.

phase-shifter
p phase-shifter

[4] C. B. Dietrich, W. L. Stutzman, B. Kim and K. Dietze, "Smart antennas in wireless communications: base-station diversity and handset beamforming," *IEEE Antennas and Propagation Mag.*, pp. 142-151, October 2000.

$$\frac{2\pi}{2^p}$$

$$\frac{\pi}{2^p} - \frac{\pi}{2^p}$$

[5] S. Bellofiore, J. Foutz, C. A. Balanis and A. S. Spanias, "Smart antenna system for mobile communication networks, part 2: beamforming and network throughput," *IEEE Antennas and Propagation Mag.*, pp. 106-114, August 2002.

$$\frac{\pi^2}{3 \times 2^{2p}}$$

(beam-forming)

adaptive